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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/037,938	
	Filing Date	January 3, 2002	
	First Named Inventor	Norbert HOFFMAN et al.	
	Art Unit	2161	
	Examiner Name		
Total Number of Pages in This Submission	4	Attorney Docket Number	74577-013

ENCLOSURES (Check all that apply)	
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s) (Sheets) <i>21890</i>
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application
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Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	John C. Stellabotte, Reg. No. 47,969 Proskauer Rose LLP
Signature	<i>John C. Stellabotte</i>
Date	June 26, 2003

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	Alexander Migirov
Signature	<i>Alexander Migirov</i>
Date	June 26, 2003

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Application Number	10/037,938
Filing Date	January 3, 2002
First Named Inventor	Norbert HOFFMAN, et al.
Art Unit	2161
Examiner Name	
Attorney Docket Number	74577-013

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

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Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name

Gregg I. Goldman, Director and Counsel, Technology and Intellectual Property, UBS AG, Stamford Branch

Signature

Date

6-20-03

Telephone

203.719.3099

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

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**POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT**

Application Number	10/037,938
Filing Date	January 3, 2002
First Named Inventor	Norbert HOFFMAN, et al.
Title	APPLICATION DEVELOPMENT....
Art Unit	2161
Examiner Name	
Attorney Docket Number	74577-013

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☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

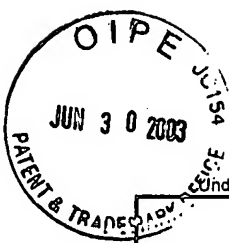
Name	Gregg I. Goldman, Director and Counsel, Technology and Intellectual Property, UBS AG, Stamford Branch				
Signature					
Date	6-20-03			Telephone	203.719.3099

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Norbert HOFFMAN, et al.Application No./Patent No.: 10/037,938 Filed/Issue Date: January 3, 2002Entitled: APPLICATION DEVELOPMENT METHODUBS AGa Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is _____ %
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OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: Norbert HOFFMAN, et al.To: UBS AGThe document was recorded in the United States Patent and Trademark Office at
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Reel _____, Frame _____, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6-20-02

Date

203.719.3099

Telephone number

Gregg I. Goldman

Typed or printed name

[Signature]

Signature

Director and Counsel

Title

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